

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
Richard LEVY)	
)	
Serial No.: To be assigned)	
)	Group Art Unit: (parent application) 1714
Filed: To be assigned)	
)	
37 C.F.R. § 1. 53 (b) continuation)	Examiner: (parent application) M. Medley
of parent application)	
Serial No.: 08/943,123)	
Filed: October 3, 1997)	
)	
For: LUBRICANT COMPOSITIONS)	
AND METHODS)	

Commissioner for Patents
P. O. Box 1450
Alexandria Virginia 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached five Forms PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents were previously submitted in a prior application, application Serial No.: 08/943,123 filed October 3, 1997 upon which applicant relies for the benefits provided in 35 U.S.C. § 120. Applicant respectfully requests that the

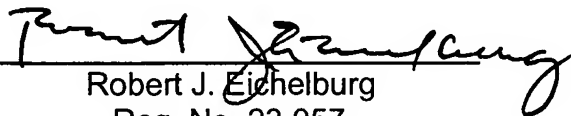
Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached five Forms PTO 1449.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant(s) determine(s) that the cited document(s) do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Respectfully submitted,

THE LAW OFFICES OF ROBERT J. EICHELBURG

By: 
Robert J. Eichelburg
Reg. No. 23,057

Dated: February 18, 2004

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>	ATTY. DOCKET NO.	SERIAL NO.
	APPLICANT Richard LEVY	
	FILING DATE	GROUP ART UNIT

*Examiner's Initials	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE, IF APPROPRIATE
	3,873,458	March 25, 1975	Parkinson			
	4,486,319	December 4, 1984	Jamison			

*Examiner's Initials	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
	0 043 182	Jan. 6, 1982	Europe			YES	NO
	0 242 040	Oct. 21, 1987	Europe				
	0 317 684	May 31, 1989	Europe				
	2 207 146	Jan. 25, 1989	United Kingdom				
	WO 89/12449	Dec. 28, 1989	PCT				

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Derwent Abstract J04011697, dated Jan. 16, 1992, page 01/01
	PCT Search Report dated October 3, 1996, PCT/US96/10246

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	Atty. Docket No.	Serial No.
	1064.0011-	
	Applicant	
	Richard LEVY	
	Filing Date	Group
		Unassigned

U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,983,389	01/08/91	Levy			
	4,985,251	01/15/91	Levy			

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub Class	Translation Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Kirk-Othmer, <i>Encyclopedia of Chemical Technology, Second Ed. Vol. 12,</i> pp. 557-609.
--	--

Examiner	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

Atty. Docket No. 1064-011-	Serial No.
----------------------------	------------

Applicant:
Richard LEVY

Filing Date:

Group:

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,611,981	03/18/97	Phillips et al.	264	130	
	5,592,949	01/14/97	Moench et al.	128	837	
	5,443,899	08/22/95	Barcus et al.	428	288	
	5,534,304	07/09/96	Geursen et al.	427	389.9	
	5,389,166	02/14/95	White	156	71	
	5,342,686	08/30/94	Guersen et al.	428	378	
	5,268,229	12/07/93	Phillips et al.	428	400	
	5,264,251	11/23/93	Geursen et al.	427	389	
	5,237,945	08/24/93	White	112	420	

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub Class	Translation Yes or No
0 630 434 B1	09/24/97	EPO			
0 606 700 A1	07/19/93	EPO			
0 596 038 B1	03/05/1997	EPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Date Considered
----------	-----------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

Atty. Docket No. 1064-011	Serial No.
Applicant: Richard LEVY	
Filing Date:	Group:

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,160,789	11/03/92	Barcus et al.	428	361	
	5,049,235	09/17/91	Barcus et al.	162	9	
	4,740,528	04/26/98	Garvey et al.	521	128	
	4,056,103	11/01/77	Kaczmarzyk et al.	128	285	

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub Class	Translation Yes or No
0 536 308 B1	02/02/94	EPO			
0 507 875 B1	06/07/95	EPO			
0 491 453 B1	07/15/98	EPO			
0 482 703 B1	09/24/97	EPO			
0 360 383 A2	07/04/89	EPO			
0 360 383 A3	07/04/89	EPO			
91/09916	07/11/91	WO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Date Considered
----------	-----------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

Atty. Docket No. 1064-011-	Serial No.
Applicant: Richard LEVY	
Filing Date:	Group:

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,733,490	03/31/98	Phillips et al.	264	130	
	5,728,742	03/17/98	Staples et al.	521	57	
	5,723,159	03/3/98	Phillips et al.	425	461	
	5,698,074	12/16/97	Barcus et al.	162	9	
	5,672,640	09/30/97	Brauer	523	173	
	5,672,277	09/30/97	Parket et al.	210	689	
	5,662,633	09/02/97	Doak et al.	604	378	
	5,626,154	05/06/97	Rogers et al.	132	200	
	5,617,877	04/08/97	Moench et al.	128	837	

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub Class	Translation Yes or No
	0 784 116 A2	07/16/97	EPO			
	0 784 116 A3	04/01/98	EPO			
	0 779 389 A2	06/18/97	EPO			
	0 779 389 A3	03/18/98	EPO			
	0 651 829 B1	05/06/98	EPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Date Considered
----------	-----------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.